



## ***Firm Transmission Rights*** **Secondary Market Participant Registration Form**

### **Background**

An FTR may be assigned, sold or otherwise transferred by the FTR Holder to any entity eligible to be an FTR Holder in full MW and hour increments. Both the FTR Holder of record and the transferee must register the transfer of a FTR using the ISO's Secondary Registration System (SRS) within two (2) Business Days of such transfer or assignment, or the deadline for scheduling the FTR in the Day-Ahead market, whichever is shorter. For any given trading interval, the ISO will financially settle with the final FTR Holder of record. For more details, please refer to Section 9.8 of the ISO Tariff.

### **How to Register as a Secondary FTR Market Participant**

To connect to the ISO's SRS system, all Market Participants must first register with the ISO as a Secondary FTR Market Participant. To register, please do the following:

- Complete and return this form to the ISO
- Complete and return the Holder Registration Form (if you are a new FTR holder)
- Receive a User Name and password from the ISO  
*(Three business day turnaround if you are an ISO certified Scheduling Coordinator)*
- Notify the ISO once you've received your digital certificate for the SRS  
*(Please contact Joseph Cates @ 916 608 1229)*

#### Additional Requirement if You are NOT an ISO Certified Scheduling Coordinator:

If you are NOT an ISO certified Scheduling Coordinator, then a Secondary Market Participant must first establish an Electronic Data Interface (EDI) and Fed Wire connection to the ISO.

The ISO conducts all commerce electronically. To ensure the ISO can financially settle with Secondary Market Participants, all Market Participants must be EDI capable and must test their EDI/Fedwire connection with the ISO prior to receiving a digital certificate from the ISO. To initiate this process, please do the following:

- Complete the Financial Information section in this form
- Establish EDI Service  
*(Please refer to the Secondary Markets Procedures Guide for further information)*
- Test EDI/Fed Wire connection with the ISO  
*(Allow seven to ten calendar days to complete this test)*



## ***Firm Transmission Rights*** **Secondary Market Participant Registration Form**

### **Primary Contact Information:**

Your Name:  
Your Title:  
Company Name:  
Company Address:  
Phone Number:  
Fax Number:  
Your Email Address:

### **Market Participant Information:**

Is your company a California ISO certified Scheduling Coordinator OR did you company participate in the ISO's primary FTR auction?      Yes      No

- If YES (to either or both):  
Do you want your FTR settlements to go into the same account as your company's current ISO market account?      Yes      No
  - If Yes:  
Thank you. Please follow the Return Instructions below
- If NO:  
Please complete the Financial Information section below

### **Financial Information:**

The ISO needs to know where to wire your financial settlements. Please complete the following information:

Bank Name:  
Bank Address:  
Bank Contact Name and Phone Number:  
Bank ABA Number:  
Bank Account Number:  
*(This is the account where the ISO will wire your FTR settlements)*  
Federal Tax ID:  
Your Accounts Payable Contact:  
*(Please include the phone number, fax, and email address for this individual)*

### **Return Instructions:**

Please email or fax this form to Jim McClain at:

jblatchford@caiso.com  
Fax: (916) 608-7074



CALIFORNIA ISO

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