



California ISO  
Your Link to Power

California Independent  
System Operator Corporation

# **BPM for Rules of Conduct Administration**

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## Introduction

- **ISO Tariff Section 37 (Enforcement Protocol)**
  - Rules of Conduct and associated Penalties
  - Administration process
- **FERC approved Rules of Conduct and Penalties in November 2004**
- **FERC approved DMM administration process in December 2005**
- **BPM provides roadmap to tariff-specified process**



## Rules of Conduct

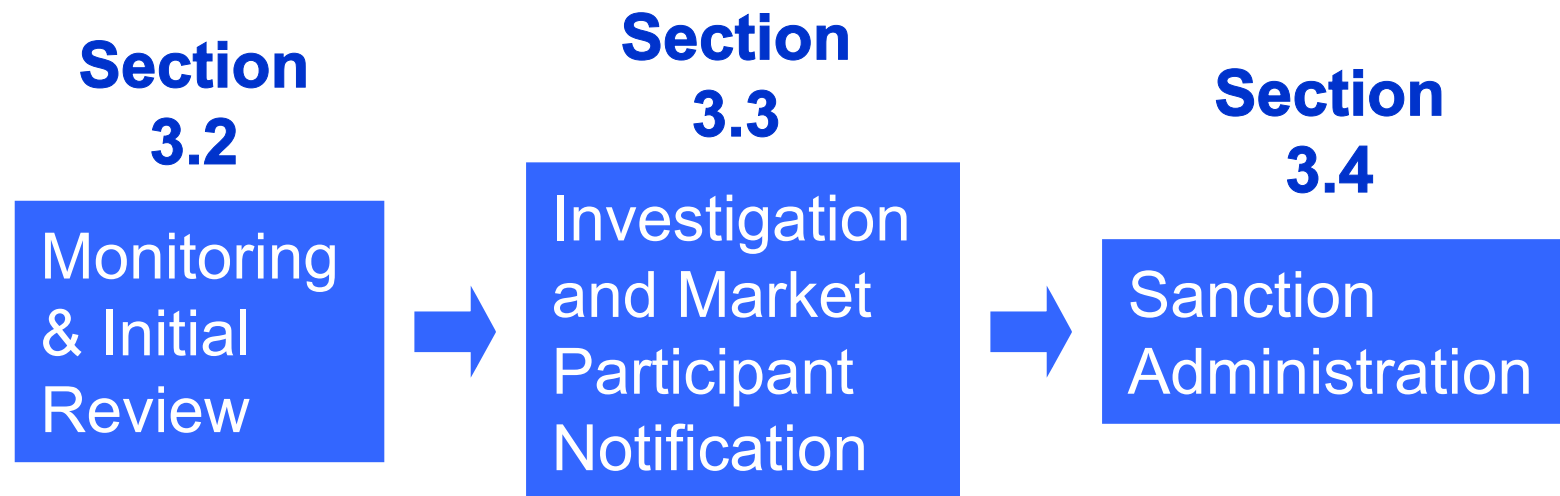
### ■ Summary

- Comply with Operating Orders (CAISO Tariff 37.2)
- Submit Feasible Energy and Ancillary Service Bids and Schedules (CAISO Tariff 37.3)
- Comply with Availability Reporting Requirements (CAISO Tariff 37.4)
- Provide Factually Accurate Information (CAISO Tariff 37.5)
- Provide Information Required by ISO Tariff (CAISO Tariff 37.6)
- No Market Manipulation (CAISO Tariff 37.7)

### ■ **Web link to summary of behavior that may violate Rules of Conduct included in BPM**

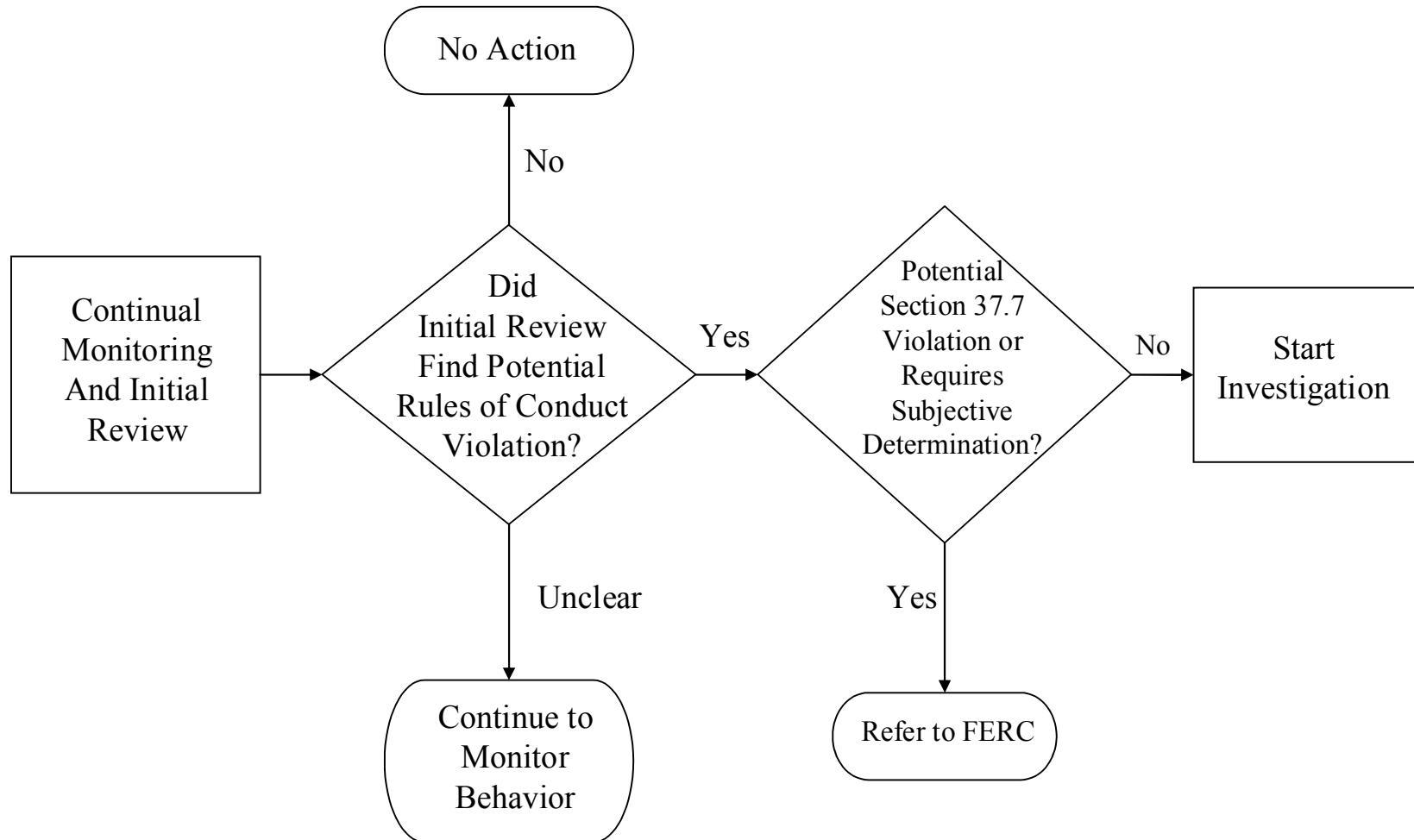


## Rule of Conduct Administration Process Summary



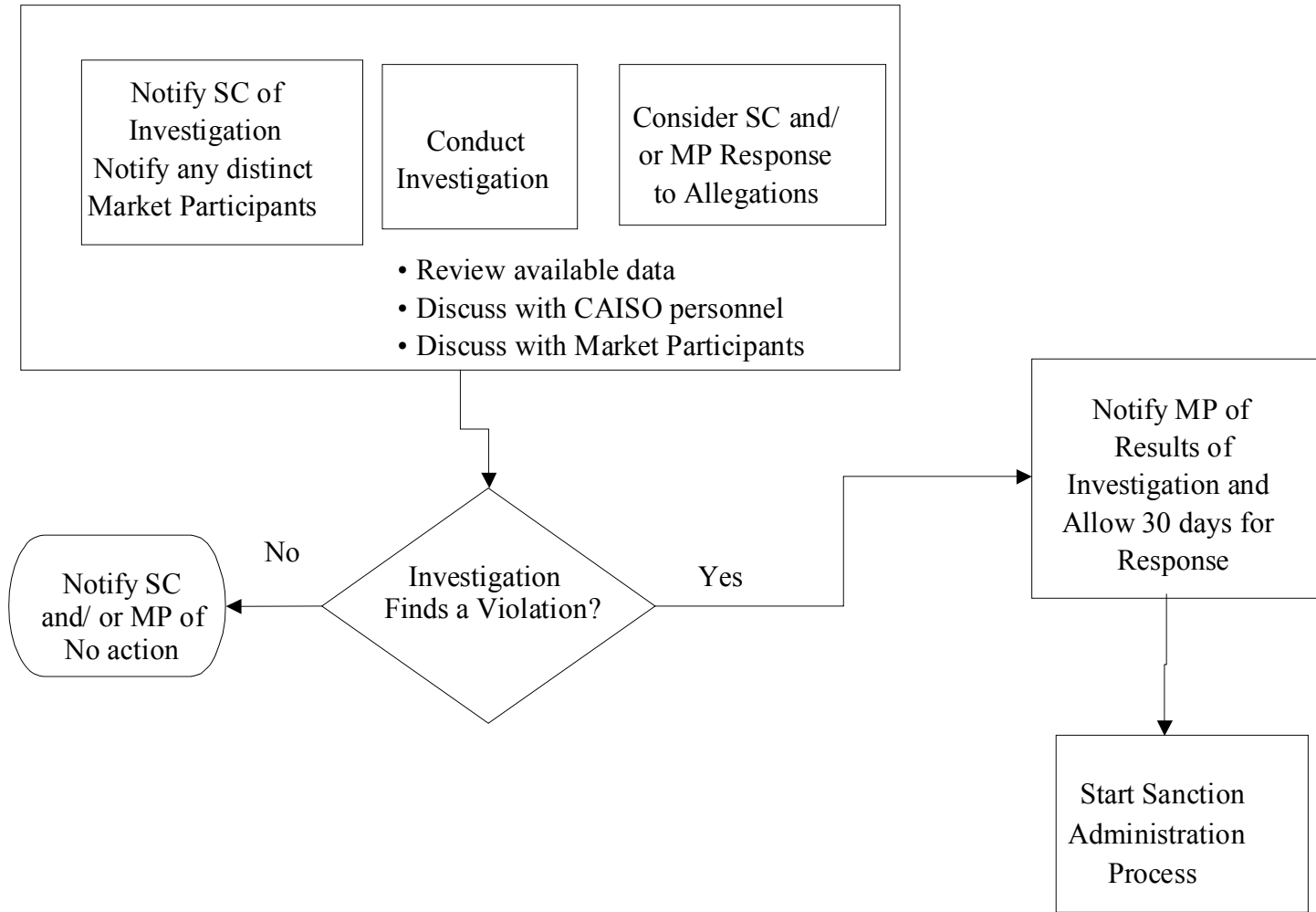


## Section 3.2 Monitoring and Initial Review





## Section 3.3 Investigation





## Section 3.4 Sanction Administration

